

STATEMENT UNDER 37 C.F.R. § 73(b)

Applicant/Patent Owner: Adams et al.  
Application No./Patent No.: 10/717,288  
Filed from Date: November 18, 2002  
Entitled: SURFACE-MODIFIED SEMICONDUCTIVE AND METALLIC NANOPARTICLES HAVING ENHANCED DISPERSIBILITY IN AQUEOUS MEDIA

Communicator for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Inventrogen Corporation (name of assignee), a corporation (type of organization), states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

1. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at \_\_\_\_\_  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

1. ☒ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From Adams et al. \_\_\_\_\_ To Quantum Dot Corporation

The document was recorded in the Patent and Trademark Office at Reel 011739,

Frame 0921, or for which a copy thereof is attached.

2. From Quantum Dot Corporation \_\_\_\_\_ To Inventrogen Corp. \_\_\_\_\_

This document was recorded in the Patent and Trademark Office at Reel 016867,  
Frame 0172, or for which a copy thereof is attached.

From: \_\_\_\_\_ To: \_\_\_\_\_

This document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_,  
Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental  
sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e. the original assignment document or a true copy of  
the original document) must be submitted to Assignment Division in accordance  
with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO  
see MPEP 302-302.6.]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of  
the assignee.

Signature:



Typed or printed name:

Christopher J. Buntel, Ph.D., J.D.

Title:

Intellectual Property In-House Counsel

Date:

02/27/2016